PTO/SB/21 (08-03) Pleas type a plus sign (+) inside this box -> + Approved for use through 7/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE DEPARTMENT OF **Application Number** 09/840,210 TRANSMITTAL Filing Date April 23, 2001 **FORM First Named Inventor** Khalil (to be used for all correspondence after initial filing) 1731 **Group Art Unit Examiner Name** Lopez, Carlos N. Total Number of Pages in This Submission **Attorney Docket Number** NL-000191 + postcard ENCLOSURES (check all that apply) After Allowance Communication to Fee Transmittal Form Drawing(s) Group Appeal Communication to Board of Fee Attached Licensing-related Papers Appeals and Interferences Appeal Communication to Group Petition Amendment / Response (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final Proprietary Information **Provisional Application** Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Other Enclosure(s) Extension of Time Request Terminal Disclaimer (please identify below): Original plus two copies Brief on Request for Refund Appeal Express Abandonment Request **Return Postcard** CD, Number of CD(s) Information Disclosure Statement Certified Copy of Priority Remarks Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm John M. Kelly, Esq., Reg. No. 33,920 Moser, Patterson & Sheridan, LLP Individual name Signature

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Mail Stop: Appeal Brief-Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Alberta Gamble Signature Date June 2, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon on the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date

Juhe

2004

NL000191

PTO/SB/17 (10-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

EEE TO AMOUNT AL	Complete if Known			
FEE TRANSMITTAL	Application Number	09/840,210		
for FY 2004	Filing Date	April 23, 2001		
1	First Named Inventor	Khalil		
Patent fees are subject to annual revision.	Examiner Name	Lopez, Carlos N.		
	Group / Art Unit	1731		

Attorney Docket No.

\$330.00

METHOD OF PAYMENT (check all that apply)			FEE CALCULATION (continued)						
		3. ADD	ITIONAL	. FEES					
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None		1	Large Entity		Small Entity				
☑ Deposit Accord	Order Deposit Account:		Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	
Deposit			105	130	205	65	Surcharge - late filing fee or oath		
Account Number	count 20-0782		127	50	227	25	Surcharge - late provisional filing fee or cover sheet.		
D**				139	130	139	130	Non-English specification	
Deposit Account Moser, Patterson & Sheridan, LLP		147	2,520	147	2,520	For filing a request for reexamination			
Name				112	920°	112	920*	Requesting publication of SIR prior to Examiner action	
The Commissioner is authorized to: (check all that apply) ☐ Charge fee(s) indicated below ☐ Credit any overpayments			113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		
		during the pendency of thi		115	110	215	55	Extension for reply within first month	
to the above-ider	tified deposit ac	count.		116	400	216	200	Extension for reply within second month	
	FEE C	ALCULATION		117	920	217	460	Extension for reply within third month	
BASIC FI Large Entity	LING FEE Small Entity			118	1,440	218	720	Extension for reply within fourth month	
Fee Fee	Fee Fee	Fee Description		128	1,960	228	980	Extension for reply within fifth month	
Code (\$)	Code (\$)	•	Fee Paid	119	320	219	160	Notice of Appeal	
101 740	201 370	Utility filing fee		120	320	220	160	Filing a brief in support of an appeal	330.00
106 330	206 165	Design filing fee		121	280	221	140	Request for oral hearing	
107 510 108 740	207 255 208 370	Plant filing fee Reissue filing fee		138	1,510	138	1,510	Petition to institute a public use proceeding	
114 160	214 80	Provisional filling fee		140	110	240	55	Petition to revive – unavoidable	
				141	1,280	241	640	Petition to revive - unintentional	
SUBTOTAL (1) (\$)		(\$)	142	1,280	242	640	Utility issue fee (or reissue)		
2. EXTRA CLAI	M FFFS			143	460	243	230	Design issue fee	
L. EXTRA GEA		Extra Fee from	Fee	144	620	244	310	Plant issue fee	
		Claims below	Paid	122	130	122	130	Petitions to the Commissioner	
Total Claims	-20 ** =	0 X 18	= 0	123	50	123	50	Processing fee under 37 CFR 1.17 (q)	
Independent Claims	-3 ** =	0 X 86	=	126	180	126	180	Submission of Information Disclosure Stmt	
Multiple Dependent		x	= 0	581	40	581	40	Recording each patent assignment per property (times number of properties)	
Large Entity	Fee Fee	•		146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
Code (\$) 103 18	Code (\$) 203	Claims in excess of 20		149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
102 86	202	Independent claims in		179	740	279	370	Request for Continued Examination (RCE)	\Box
104 290	204	Multiple dependent clai	•						- 0
109 84	209	** Reissue independen original patent		169	900	169	900	Request for expedited examination of a design application	
110 18	210	** Reissue claims in ex over original patent	cess of 20 and						
	SUBTOTAL (_) (\$) 0.00 Other fee (specify)								
*Reduced by Basic Filing Fee Paid SUB1 **or number previously paid, if greater; For Reissues, see above				aid SUBTOTAL (3) (\$) 330	.00				
				1					

SUBMITTED BY				Co	mplete (if applicable)
Name (Print/Type)	John M. Kelly, Esq.	Registration No. Attorney/Agent)	33,920	Telephone	(732) 530-9404
Signature	John M	Kell		Date	June 🔑 , 2004

VAR NING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

BATER

TOTAL AMOUNT OF PAYMENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicant: Mohammed Khalil

Filed: April 23, 2001

Docket: NL-000191

Group Art Unit: 1731

Serial No.: 09/840,210

Examiner: Lopez, Carlos N.

Title: METHOD OF MANUFACTURING A CATHODE RAY TUBE

BRIEF ON APPEAL

COMMISSIONER FOR PATENTS Mail Stop: Appeal Brief-Patent P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The following appeal brief is submitted pursuant to a Notice of Appeal mailed on April 6, 2004.

REAL PARTY IN INTEREST

The real party in interest is the Philips Electronics North America Corporation, a subsidiary of Koninklijke Philips Electronics N.V.

RELATED APPEALS AND INTERFERENCES

No other appeals or interferences that directly affect, or are directly affected by, or have a bearing on the Board's decision in the pending appeal are known to Appellant's legal counsel.

06/07/2004 AWDNDAF1 00000090 200782 09840210

01 FC:1402

330.00 DA

STATUS OF CLAIMS

Claims 1-8 are pending and stand rejected and claims 9-17 are withdrawn. The

rejections of claims 1-8 are appealed.

STATUS OF AMENDMENTS

An "Amendment under 37 C.F.R. §1.116" was submitted on March 8, 2004. That amendment, which amended claim 6, was entered and an advisory action mailed on April 5, 2004 provides that the objection of claim 6 is overcome by the March 8, 2004 amendment.

Subsequently, a telephone conference with the Examiner was held on April 20, 2004. Based on that telephone conference, the Examiner amended claim 1 to add "during said cooling" just before the ending period. That amendment overcame previously existing rejections based on Torok (US Patent 3,258,324). Consequently all claim amendments have been entered.

SUMMARY OF INVENTION

The subject invention relates to manufacturing display tubes. More specifically, the subject invention relates to press-forming glass at high temperature to form a glass structure that has inner corners. That glass structure is then cooled in such a way that residual heat is controlled such that stresses in the glass corners remain, thus strengthening the final display tube.

The subject invention is motivated by safety concerns. Modern cathode ray display tubes are becoming larger and "flat" screen devices are in demand. Those developments tend to increase the weight of the display tube since more glass is required for size and for protection against implosion or explosion, reference page 1, lines 9-17. When manufacturing a display tube, glass is press-formed at high temperature, around 1000 to 1100 °C. As the glass cools inhomogeneities in the stress levels in the formed glass may occur, particularly at the inner corners of the tube. Stress in the tube, particularly at the corners is important for safety. Stress problems are increased by different cooling rates in the glass. In particular, residual re-heating (heat transfer from the bulk of the glass to the surface) at the inner corners can cause re-heating of the inner corners above the strain point, which relieves the stress. The

resulting reduced stress results in a display tube that is weaker and more susceptible to safety problems, reference, for example, page 1, line 26 through page 2, line 35 of the subject application.

The present invention is directed at manufacturing a display tube by reducing residual re-heating at the corners, which improves safety and enables lighter panels. A glass panel is press-formed by pressing a plunger 23b in a die 23a with molten glass disposed between the plunger 23b and the die 23a (reference Figure 2A). After forming, the corners of the plunger 23b are cooled by cold gas and, after forming, the plunger 23b is withdrawn. A heat transfer material (tissue) can be added at the corners to improve heat transfer of the material of the plunger to the glass. See, for example, page 4, lines 16-27.

The cooling of the corners by the plunger is performed such that the corner temperature remains near that of the center of the glass panel and below the strain point temperature of the glass, reference Figure 4B and its supporting text at page 5, lines 15 through page 6, line 6. This reduces stress differences and thermal relaxation of the stress at the inner corners.

For the convenience of the Board of Patent Appeals and Interferences, Appellant's claim 1 (the independent claim) is presented below.

1. A method of manufacturing a display tube comprising press-forming a glass panel to form inner corners and then cooling the formed glass panel such that surface temperatures of the inner corners remain below a strain point temperature during said cooling.

ISSUES

Whether claims 1-8 are patentable under 35 U.S.C. §103(a) over d'Iribarne et al. (US 4,826,522) in view of Littleton et al. (US Patent 2,285,596).

GROUPING OF CLAIMS

The rejected claims should be grouped together according to the grounds for rejection: 1-8 should stand or fall together.

THE REFERENCES

The following references are relied on by the Examiner:

Inventor	Document	Date
d'Iribarne et al.	US 4,826,522	May 2, 1989
Littleton et al.	US 2,285,596	September 12, 1939

BRIEF DESCRIPTION OF THE REFERENCES

d'Iribarne et al. discloses a method of making tempered glass sheets having reinforcing edge stresses. Turning to US 4,826,522, a glass sheet is passed through a furnace 1 and across two cooling plates (3 and 4) that temper and possibly bend the glass, see Figure 1. Then, the edges of the glass sheet is cooled by a cooling means 11, such as blown cooled gas, and such that the edges of the sheet are cooled faster than the remainder of the glass sheet, reference Figure 2 and its supporting text at column 2, line 48 through column 3, line 6.

Littleton et al. discloses a method of tempering glass by heating an entire glass sheet between the annealing and softening temperatures, and then by chilling the entire glass body below the strain temperature. Residual heat is removed such that a substantially uniform temperature gradient exits across the glass sheet. See US 2,285,596, column 2, lines 18-31.

ARGUMENTS

The Examiner rejects claims 1-8 under 35 U.S.C. §103(a) as being unpatentable over d'Iribarne et al. (US 4,826,522) in view of Littleton et al. (US Patent 2,285,596). It is submitted that claims 1-8 are allowable when those references and the claims are properly understood.

Claim 1, and its dependent claims 2-8, are allowable at least because one of their features relate to cooling a press-formed panel such that the temperature of the inner corners remain below the strain point temperature of the glass during cooling.

Specifically, the subject application in claim 1 recites:

"A method of manufacturing a display tube comprising <u>press-forming</u> a glass panel to form inner corners and then <u>cooling</u> the formed glass panel <u>such that surface temperatures of the inner corners remain below</u> a <u>strain point temperature during said cooling.</u>" (Emphasis added)

d'Iribarne et al. discloses a method of making tempered glass sheets having reinforcing edge stresses. In contrast to pending claim 1, d'Iribarne et al. does not relate to press-forming a glass panel to have inner corners. Consequently, d'Iribarne et al. cannot suggest cooling the formed glass panel such that that the surface temperatures of the inner corners remain below a strain point temperature during cooling.

Littleton et al. does nothing to close the substantial gap between claim 1 and d'Iribarne et al. Littleton et al. teaches tempering glass sheets by heating between the annealing and softening temperature and then cooling that sheet such that the temperature is below and remains below the strain temperature. Like d'Iribarne et al., Littleton et al. does not relate to press-forming a glass panel to have inner corners, and consequently Littleton et al. cannot suggest cooling those corners.

If the principles of d'Iribarne et al. were applied to a display tube, the result would be stressed edges but unstressed inner corners. Combining the teachings of d'Iribarne et al. and Littleton et al. may not be possible. d'Iribarne et al. teaching is to cool the edges of a hot glass sheet more than the center, see, for example, US 4,826,522, column 1, line 55 through column 2, line 4. However, Littleton et al. specifically teaches heating an entire glass sheet between the annealing and softening temperature and then rapidly cooling that sheet such that the temperature is below the strain temperature and heat is continued to be removed, thus preventing re-heating that reduces stress. These two approaches contradict, once cooled below the strain temperature glass stress changes are minor.

CONCLUSION

For the reasons advanced above, Appellant respectfully urges that claims 1-8 are patentable. Reversal of all rejections is respectfully requested.

To the extent necessary, a petition for an extension of time under 37 C.F.R. 1.136 is hereby made. If necessary, please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 20-0782 and please credit any excess fees to such deposit account.

fine 2, 2004

Respectfully submitted,

Jo∕nn M. Kelly

Attorney for the Appellant

Reg. No. 33,920 (732) 530-9404

Moser, Patterson & Sheridan, LLP Attorneys at Law 595 Shrewsbury Ave. 1st Floor Shrewsbury, New Jersey 07702

FIRST CLASS MAIL CERTIFICATION

I hereby ce	rtify that this paper and/or fee is being deposited on
June ⋞, 2004	with the United States Postal Service as first class mail under 37
CFR 1.8 and is ac	Idressed to the COMMISSIONER FOR PATENTS, Mail Stop: Appeal
Brief-Patent, P.O.	Box 1450, Alexandria, VA 22313-1450.

Signature of person mailing paper or fee

Alberta Gamble

Name of person mailing paper or fee

APPENDIX OF CLAIMS INVOLVED IN APPEAL

- 1. A method of manufacturing a display tube comprising-press-forming a glass panel to form inner corners and then cooling the formed glass panel such that surface temperatures of the inner corners remain below a strain point temperature during said cooling.
- 2. A method as claimed in claim 1, wherein a maximum_difference in surface temperatures between the inner corners and a centre of the glass during press-forming is less than 150°C.
- 3. A method as claimed in claim 1, wherein during at least a part of the step of pressforming the glass panel, a surface temperature at an inner_corner is kept below a surface temperature at the centre of the glass panel.
- 4. A method as claimed in claim 3 wherein after press-forming the inner corners are cooled more than the centre.
- 5. A method as claimed in claim 1 wherein the surface temperatures of the inner corners remain below the strain point of the glass during and after press-forming.
- 6. A method as claimed in claim 5 wherein the surface temperatures of the inner corners remain at least 30 degrees Kelvin below the strain point of the glass during and after press-forming.
- 7. A method as claimed in claim 1 wherein heat transfer elements improve heat transfer from the glass panel.
- 8. A method as claimed in claim 7 wherein stainless steel tissue forms a heat transfer element.